



06-25-01

A

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

June 22, 2001

Re: Application for Patent of
Inventor(s) : Robert C. Newman Jr.,
Stuart DeJonge, Mark
Taipale, Dominick
Travaglini, Joel S. Spira
Title : ELECTRONIC BALLAST
Assignee : Lutron Electronics Co., Inc.
Our Docket : P99-16

"Express Mail" mailing label number: EK156592899US

Date of Deposit: June 22, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Rita M. Shovlin

(Typed or printed name of person mailing paper or fee.)

(Signature of person mailing paper or fee.)



BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed are the following papers:

- ☒ Specification, abstract, and claims, 35 pages total.
- ☒ Drawings 26 sheets.
- ☒ Declaration and Power of Attorney.

A claim for priority under 35 USC 119 is hereby made with respect to _____ application no. _____ filed _____. A certified copy of that application _____ is enclosed/ _____ will be filed later.

The Commissioner is authorized to charge to Deposit Account No. 122421 the fee in the amount of \$ 710.00, which is calculated according to the enclosed Patent

- ☒ Application Fee Determination Record PTO/SB/06.
The Commissioner is authorized to charge any additional fees under 37 CFR 1.16 and 1.17 that may be required by this paper, or to credit any overpayment, to
- ☒ Deposit Account No. 122421. Two copies of this request are enclosed.

Respectfully Submitted,
Lutron Electronics Company, Inc.

By Mark E. Rose
Mark E. Rose
Reg. No. 42,113

FEE TRANSMITTAL
for FY 2001

Patent fees are subject to annual revision.

Complete If Known

Application Number	
Filing Date	June 22, 2001
First Named Inventor	Robert C. Newman, Jr.
Examiner Name	
Group Art Unit	
Attorney Docket No.	P99-16

TOTAL AMOUNT OF PAYMENT (\$) 1,638.00**METHOD OF PAYMENT**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	122421
Deposit Account Name	Lutron Electronics Co. Inc.

- ☐
- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- ☐
- Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****BASIC FILING FEE**

Large Entity	Small Entity	Fee Description	Fee Paid
Code (\$)	Code (\$)		
101 710	201 355	Utility filing fee	710
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$) 710**EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
36	-20** = 16	x 18	= 288
11	-3** = 8	x 80	= 640
Multiple Dependent			

SUBTOTAL (2) (\$) 928

*by number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity	Small Entity	Fee Description	Fee Paid
Code (\$)	Code (\$)		
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(f)	
126 180	126 180	Submission of Information Disclosure Sheet	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(e))	
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	
Other fee (specify)			
*Reduced by Basic Filing Fee Paid			
SUBTOTAL (3) (\$)			

SUBMITTED BY

Name (Print/Type)	Mark E. Rose	Registration No. (Attorney/Agent)	42,113	Telephone	610-282-6547
Signature	<i>Mark E. Rose</i>	Date			

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.